

Table 1: ASIC enforcement outcomes, by financial year from 2015-16 to 2025-26 FYTD (to 30 April 2026)

	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	2021-22	2022-23	2023-24	2024-25	2025-26 FYTD
<b>Investigations</b>											
Investigations commenced	206	163	126	151	134	110	107	134	168	252	226
<b>Criminal action and outcomes</b>											
People/companies charged by the CDPP	19	11	30	14	41	53	52	32	23	24	14
People/companies convicted	22	20	22	27	30	29	34	35	18	19	23
Custodial sentences (including fully suspended)	18	13	13	14	22	10	13	21	8	14	19
Non-custodial sentences/fines	4	7	13	16	8	19	21	14	10	5	4
Amount (\$) criminal fines (excluding reparation orders and pecuniary penalties)	\$123,500	\$40,500	\$15,100	\$266,050	\$731,650	\$151,100	\$2,111,000	\$189,640	\$936,000	\$16,834,500	\$137,315
<b>Civil actions and outcomes</b>											
Civil proceedings filed	29	25	34	27	33	43	39	26	32	38	29
Amount (\$) in civil penalties	\$1,275,000	5,263,500	\$42,165,000	\$12,690,000	\$24,900,000	\$189,430,000	\$229,923,000	\$185,445,000	\$92,040,000	\$104,070,000	\$423,815,000
<b>Administrative actions and outcomes</b>											
People disqualified or removed from directing companies	39	51	50	59	51	49	58	32	35	14	26
People/companies removed or restricted from providing financial services	81	100	92	85	79	49	39	77	64	58	70
People/companies removed or restricted from providing credit services	55	108	41	97	29	46	18	28	11	33	25
Infringement notices issued	109	74	55	14	4	3	3	20	26	16	29
Amount (\$) in infringement notices issued	\$2,340,100	\$4,313,800	\$2,032,000	\$731,700	\$671,000	\$392,000	\$136,890	\$6,710,808	\$7,193,605	\$5,633,840	\$8,299,700